

INFORMATION ON INVESTMENT MANAGEMENT OF PENSION ASSETS OF UAPF JSC

As of April 1, 2021, pension assets (hereinafter referred to as PA) of the UAPF held in trust by Halyk Global Markets JSC (hereinafter referred to as Halyk Global Markets) amounted to KZT 502.97 million.

The structure of the portfolio of financial instruments formed from pension assets held in trust by Halyk Global Markets is shown in Table 1.

Table 1. Structure of the investment portfolio of UAPF PA held in trust by Halyk Global Markets, in the context of financial instruments

<i>KZT mln</i>					
Description	Current value as of 01.03.2021.	Share as of 01.03.2021.	Current value as of 01.04.2021.	Share as of 01.04.2021г.	Increase / decrease since the beginning of the year
Reverse Repo (no more than 90 calendar days)	0.00	0.00%-	500.46	99.50%	-
Cash on accounts	0.00	0.00%	2.51	0.50%	-
Total PA in trust by Halyk Global Markets	0.00	0.00%	502.97	100.00%	-
Obligations to pay for completed transactions	0.00	0.00%	0.00	0.00%	-
Total Pension Assets	0.00	0.00%	502.97	100.00%	-

Reverse Repo (no more than 90 calendar days)

The current value of reverse repo transactions for the reporting month amounted to KZT 500.46 million. Their share in the portfolio was 99.50%.

Currency Structure

The investment portfolio of financial instruments by currencies, in which financial instruments are denominated, acquired from pension assets held in trust by Halyk Global Markets are presented in Table 2.

In the reporting period, there were no sale and purchase transactions with foreign currency on the Kazakhstan Stock Exchange.

Table 2. Structure of the investment portfolio of UAPF PA held in trust by Halyk Global Markets, by currencies

<i>KZT mln</i>					
Currency	Current value as of 01.03.2021.	Share as of 01.03.2021.	Current value as of 01.04.2021.	Share as of 01.04.2021г.	Increase / decrease since the beginning of the year
National currency	0.00	0.00%	502.97	100.00%	
Total:	0.00	0.00%	502.97	100.00%	

Investment results

As a result of investment activities, the amount of accrued investment income amounted to KZT 1.21 million. The return on pension assets was 0.23%.

The structure of accrued investment income is presented in Table 3.

Table 3. Structure of investment income received on UAPF PA held in trust by Halyk Global Markets

<i>KZT mln</i>	
Description	Investment income for the reporting period
Income in the form of interest on securities, including on placed deposits and reverse REPO operations	1.21
Total:	1.21

Information about the value of a standard unit

The dynamics of the value of the standard pension unit of UAPF PA held in trust by Halyk Global Markets is presented in Table 4.

Table 4. Value of one standard unit of UAPF PA held in trust by Halyk Global Markets

No.	Date	Value of one standard unit
1	15.03.2021	899.4814074
2	16.03.2021	899.4814074
3	17.03.2021	899.4814074
4	18.03.2021	899.4814074
5	19.03.2021	899.4814074
6	20.03.2021	899.4814074
7	21.03.2021	899.4814074
8	22.03.2021	899.4814074
9	23.03.2021	899.4814074
10	24.03.2021	899.4814074
11	25.03.2021	900.3759179
12	26.03.2021	900.3759179
13	27.03.2021	900.3759179
14	28.03.2021	900.3759179
15	29.03.2021	901.1927850
16	30.03.2021	901.1927850
17	31.03.2021	901.5723330

Information on compliance with the parameters of the investment declaration

The structural distribution of UAPF PA held in trust meets the requirements of the Investment Declaration for a portfolio of financial instruments formed from pension assets held in trust by Halyk Global Markets. The shares of foreign exchange positions remain within the acceptable level and do not violate the requirements of the Investment Declaration for a portfolio of financial instruments formed from pension assets held in trust by Halyk Global Markets. Information on compliance with investment limits as of April 1, 2021 is presented in Table 5.

Table 5. Investment limits for UAPF PA held in trust by Halyk Global Markets

No.	Type of the Financial Instrument	Actual value (as a percentage of the total PA volume)	Execution (yes / no)
	Cash, including bank balances	0.50%	yes
	Reverse REPO	99.50%	yes
1	Government securities of the Republic of Kazakhstan issued by the Ministry of Finance of the Republic of Kazakhstan and the National Bank of the Republic of Kazakhstan, debt securities issued by local executive bodies of the Republic of Kazakhstan, debt securities issued by a legal entity, one hundred percent of whose shares are owned by the National Bank of the Republic of Kazakhstan	0.00%	yes
2	Debt securities issued by Joint Stock Companies: the Development Bank of Kazakhstan, Samruk-Kazyna National Welfare Fund, Baiterek National Managing Holding, Problem Loans Fund in accordance with the legislation of the Republic of Kazakhstan and other states	0.00%	yes
3	Deposits in second-tier banks of the Republic of Kazakhstan	0.00%	yes
4	Deposits in non-resident banks	0.00%	yes
5	Debt securities issued by international financial institutions	0.00%	yes
6	State securities issued by the central governments of foreign states	0.00%	yes
7	Foreign stocks and depository receipts, Exchange Traded Funds (ETF), Exchange Traded Commodities (ETC), Exchange Traded Notes (ETN)	0.00%	yes
8	Debt securities, foreign non-government issuers	0.00%	yes
9	Shares and depository receipts of RK issuers, shares of interval mutual investment funds, the management company of which is a legal entity established in accordance with the legislation of the Republic of Kazakhstan	0.00%	yes
10	Debt securities of non-government issuers of the Republic of Kazakhstan	0.00%	yes

No.	Type of the Financial Instrument	Actual value (as a percentage of the total PA volume)	Execution (yes / no)
1	Derivative financial instruments, including:	0.00%	yes

1.1	Derivative financial instruments used to hedge investment risk	0.00%	yes
1.2	Derivative financial instruments used for investment purposes	0.00%	yes
1.3	Gold in bars and metal accounts	0.00%	yes

Information on purchases, sales and redemption of financial instruments held in a portfolio of financial instruments formed from pension assets held in trust by Halyk Global Markets

Table 6. Information on the purchase of financial instruments in the reporting month

Type of Instrument	Currency	Issuer of a Security	Nominal Value in KZT
Total			

Table 7. Information on the sale of financial instruments in the reporting month

Type of Instrument	Currency	Issuer of a Security	Transaction Amount in KZT
Total			

Table 8. Information on repayment of the principal debt on securities in the reporting month

Type of Instrument	Currency	Issuer of a Security	Transaction Amount in KZT
Total			

Table 9. Information on placements in deposits of foreign banks in the reporting month

Type of Instrument	Currency	Bank	Transaction Amount in KZT
Total			

Table 10. Information on repayment of the principal debt on deposits in the reporting month

Type of Instrument	Currency	Bank	Amount to be Paid in KZT
Total			